

12/03/03 08:24

5039729115

JCWSCS 03 DEC 2003

KOLISCH HARTWELL

001/008



Kolisch Hartwell

A Professional Corporation

INTELLECTUAL PROPERTY ATTORNEYS

Kolisch Hartwell, P.C.
200 Pacific Building
520 S.W. Yamhill Street
Portland, Oregon 97204
Telephone: (503) 224-6655
Facsimile: (503) 295-6679

260 Sheridan Avenue, Suite 200
Palo Alto, California 94306-2009
Telephone: (650) 325-8673
Facsimile: (650) 325-5076
e-mail: info@khpatent.com

FACSIMILE TRANSMISSION SHEET

DATE : December 3, 2003

TIME : 8:19 am

OPERATOR : Robin Davin

TO : **Office of Initial Patent Examination's
Filing Receipt Corrections
U.S. PATENT AND TRADEMARK OFFICE**

FACSIMILE NO : (703) 746-9195

FROM : David S. D'Ascenzo

OUR FILE : NPW 346

RE : U.S. Patent Application Serial No. 10/623,457

TOTAL NO. PAGES: 8 (INCLUDING COVER SHEET)

X ORIGINAL WILL NOT FOLLOW

ORIGINAL WILL FOLLOW BY:

 U.S. AIRMAIL

 FEDEX

The information contained in this facsimile message is legally privileged and confidential information intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this facsimile message is strictly prohibited. IF YOU HAVE RECEIVED THIS TRANSMISSION IN ERROR, PLEASE IMMEDIATELY NOTIFY US BY TELEPHONE (COLLECT, IF LONG DISTANCE), and return the original message to us at the above address via the United States Postal Service. Thank you.

IF YOU DO NOT RECEIVE ALL PAGES, OR IF COPIES ARE UNCLEAR,
PLEASE CALL ABOVE OPERATOR AT (503) 224-6655.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

December 3, 2003

In re Application of:
ALAN E. HAYES and JERRY A. YOUNG

Serial No. : 10/623,457
Filed : July 17, 2003
For : POSITIVE DISPLACEMENT LIQUID PUMP

Office of Initial Patent Examination's
Filing Receipt Corrections
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

REQUEST FOR CORRECTION OF FILING RECEIPT

Applicants herewith return a copy of the filing receipt for the above-identified application for correction of the serial number and the filing date.

The filing receipt incorrectly gives the serial number of the above application as 10/622,808. Applicants enclose herewith a copy of the return receipt postcard which shows that the application was assigned Serial No. 10/623,457 upon receipt by the U.S. Patent and Trademark Office.

The filing receipt incorrectly gives the filing date of the application as July 21, 2003. The filing date of the application should be July 17, 2003, as shown on the enclosed copy of the return receipt postcard. Applicants also enclose herewith

Page 1 - REQUEST FOR CORRECTION OF FILING RECEIPT;
Serial No. 10/623,457

copies of the application transmittal and Express Mail receipt, which show the filing date as July 17, 2003.

Accordingly, Applicants respectfully request that the serial number and filing date of the above-identified application be corrected in the records of the U.S. Patent and Trademark Office, and that a new filing receipt be issued and sent to Applicants' undersigned attorney.

Respectfully submitted,

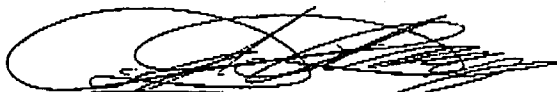
KOLISCH HARTWELL, P.C.



David S. D'Ascenzo
Registration No. 39,952
PTO Customer No. 23581
Kolisch Hartwell, P.C.
520 S.W. Yamhill Street, Suite 200
Portland, Oregon 97204
Telephone: (503) 224-6655
Facsimile: (503) 295-6679

CERTIFICATE OF FACSIMILE

I hereby certify that this correspondence and the attached copies of the filing receipt, return receipt postcard, application transmittal and Express Mail receipt are being facsimile transmitted to the Office of Initial Patent Examination's Filing Receipt Corrections, U.S. Patent and Trademark Office, to facsimile number (703) 746-9195 on December 3, 2003.



David S. D'Ascenzo



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1420
Alexandria, Virginia 22313-1420
www.uspto.gov

APPL NO.	FILING OR 371 (C) DATE	PART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/622,808	07/21/2003		0.00	NPW 346	7	22	2

10/623,457

23581

KOLISCH HARTWELL, P.C.
520 S.W. YAMHILL STREET
SUITE 200
PORTLAND, OR 97204

07/17/2003

CONFIRMATION NO. 3016

FILING RECEIPT

OC000000011361554

Date Mailed: 11/26/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Alan E. Hayes, Residence Not Provided;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 11/25/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Positive displacement liquid pump

Preliminary Class

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.


This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PATENT

SN/Par. No. _____ KH File No. NPW 346 Atty/Sec. DSD:rld
Applicant(s) Alan E. Hayes et al. Date July 17, 2003
Title POSITIVE DISPLACEMENT LIQUID PUMP
The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:
☒ PA w/ 7 sheets of drawings ☒ Transmittal
☐ Declaration / Power of Attorney ☐ Small Entity Status
☐ Assignment ☐ Extension of Time _____ mos.
☐ Response to OA dated: _____ ☐ Correction of Filing Receipt
☐ Issue Fee / Publication Fee ☐ Maintenance Fee No. _____
☐ Request and Certification for Nonpublication **21909 U.S. PTO**
☐ Information Disclosure Statement (with PTO-1449 form and references) **10/623457**
☐ Other 
☐ Other **DOCKETED** **07/17/03**
Check for \$ _____ By VP Date 8/2/03 EV 325399785 US
☒ Certificate of Mailing _____ First Class ☒ Express-Mail No. _____

RECEIVED

AUG 01 2003

KOLISCH HARTWELL, PC

KOLISCH HARTWELL, PC
200 Pacific Building
520 S.W. Yamhill Street
Portland, Oregon 97204

330041137A

|||||

PTO/SB/05 (05-03)

Approved for use through 04/30/2003. OMB 0851-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

NPW 346

First Inventor

Alan E. Hayes

Title

Positive Displacement Liquid Pump

Express Mail Label No.

EV 325399785 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification (Total Pages 49)
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 07)
5. Oath or Declaration (Total Sheets)
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 16 completed)
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Reader Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1499 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation☐ Divisional☐ Continuation-in-part (CIP)

of prior application No.:

Prior application information:


Examiner

Art Unit:

For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code LabelOR ☐ Correspondence address below

Name	David S. D'Ascenzo		
Address	Kolisch Hartwell, P.C.		
	520 S.W. Yamhill Street, Suite 200		
City	Portland	State	Oregon
Country	United States	Zip Code	97204
	Telephone	(503) 224-6655	Fax (503) 295-6679
Name (Print/Type)	David S. D'Ascenzo	Registration No. (Attorney/Agent)	39,952
Signature		Date	July 17, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EV325399785US

Customer Copy
Label 11-F, June 2002

EV 325399785 US



UNITED STATES POSTAL SERVICE®

Post Office To Addressee

ORIGIN (POSTAL USE ONLY)

PO ZIP Code 97207	Day of Delivery <input type="checkbox"/> Next <input checked="" type="checkbox"/> Second <input type="checkbox"/>	Flat Rate Envelope <input type="checkbox"/>
Date by 7/13/04	Postage \$ 17.85	
Mo. Day Year 12 03 04	Time In Midday	Return Receipt For <input type="checkbox"/>
<input type="checkbox"/> AM <input checked="" type="checkbox"/> PM	<input type="checkbox"/> 1st Day <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	
Weight 11.1	Int'l Alpha Country Code	COD Fee Insurance Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials K	Total Postage & Fees \$ 17.85

DELIVERY (POSTAL USE ONLY)

Delivery Attempt	Time	Employee Signature
Mo. Day	<input type="checkbox"/> AM <input type="checkbox"/> PM	
Delivery Attempt	Time	Employee Signature
Mo. Day	<input type="checkbox"/> AM <input type="checkbox"/> PM	
Delivery Date	Time	Employee Signature
Mo. Day	<input type="checkbox"/> AM <input type="checkbox"/> PM	

CUSTOMER USE ONLY

METHOD OF PAYMENT

Express Mail Corporate Acct. No.

Federal Agency Acct. No. or
Postal Service Acct. No.

FROM: (PLEASE PRINT)

PHONE 503, 224 6655

KOLISCH HARTWELL PC
520 SW YAMHILL ST STE 200
PORTLAND OR 97204-1378

NFW 346 - rld

TO: (PLEASE PRINT)

PHONE

Mail Stop PATENT APPLICATION
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

PRESS HAND.

You are making a copy.

FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com

